

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Atty Dkt. 121-184

Parikh et al

C# M#

Serial No. 09/443,863

Group Art Unit: 1615

Filed: November 19, 1999

Examiner: Kishore

Date: December 12, 2000

Title: DISPERSIBLE PHOSPHOLIPID STABILIZED MICROPARTICLES

Assistant Commissioner for Patents  
Washington, DC 20231

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DEC 13 2000

TECH CENTER 1600/2900

Sir:

**RESPONSE/AMENDMENT/LETTER**

This is a response/amendment/letter in the above-identified application and includes an attachment which is hereby incorporated by reference and the signature below serves as the signature to the attachment in the absence of any other signature thereon.

**Fees are attached as calculated below:**

Total effective claims after amendment 13 minus highest number  
previously paid for 20 (at least 20) = 0 x \$ 18.00 \$ 0.00

Independent claims after amendment 1 minus highest number  
previously paid for 3 (at least 3) = 0 x \$ 80.00 \$ 0.00

If proper multiple dependent claims now added for first time, add \$270.00 (ignore improper) \$ 0.00

Petition is hereby made to extend the current due date so as to cover the filing date of this  
paper and attachment(s) (\$110.00/1 month; \$390.00/2 months; \$890.00/3 months) \$ 110.00

Terminal disclaimer enclosed, add \$ 110.00 \$ 0.00

☒ First/second submission after Final Rejection pursuant to 37 CFR 1.129(a) (\$710.00) \$ 0.00

☐ Please enter the previously unentered, filed

☐ Submission attached

☐ Request for Continued Examination pursuant to 37 C.F.R. § 1.114 (\$710.00) \$ 0.00

☐ Please enter the previously unentered, filed

or ☐ Required submission attached

**Subtotal \$ 110.00**

If "small entity," then enter half (1/2) of subtotal and subtract -\$ 0.00

☐ This application is entitled to "Small entity" status. ☐ "Small entity" statement attached.

Rule 56 Information Disclosure Statement Filing Fee (\$180.00) \$ 0.00

Assignment Recording Fee (\$40.00) \$ 0.00

Other: 0.00

**TOTAL FEE ENCLOSED \$ 110.00**

The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached.

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By Atty: Arthur R. Crawford, Reg. No. 25,327

Signature: 

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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For: DISPERSIBLE PHOSPHOLIPID STABILIZED  
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Atty. Ref.: 121-184

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*Be*  
*12-20-00*

\* \* \* \* \*

December 12, 2000

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**FIRST AMENDMENT AFTER FINAL REJECTION**

Responsive to the Official Action dated August 21, 2000, please amend the above-identified application as follows:

**IN THE CLAIMS**

Claim 1, line 2, delete "compound existing as a".

2. (Amended) A rapidly dispersing solid dosage form [of claim 1] consisting essentially of a water insoluble nanometer or micrometer particulate solid which is surface stabilized within one or more surface modifiers of which at least one is a phospholipid, the water insoluble particulate solid dispersed throughout a bulking matrix optionally also including a releasing agent forming a therapeutic dosage form when dried which when the dosage form is introduced into an aqueous environment the bulking/releasing matrix is substantially disintegrated within less than 2 minutes thereby